



Practitioner's Docket N . 01-376

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Rottcher

Serial No.: 09 / 883,729

Group No.: 2851

Filed: June 18, 2001

Examiner: M. Cruz

For: MIRROR HAVING A PORTION IN THE FORM OF AN INFORMATION PROVIDER

Assistant Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is
- ☒ a small entity. A statement:
    - ☐ is attached.
    - ☒ was already filed.
    - ☐ other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: January 2, 2003

Signature

Lori J. Larson

(type or print name of person certifying)

(Amendment Transmittal [9-19]—page 1 of 4)

01/09/2003 YPOLITE1 00000022 020184 09883729

03 FC:2253

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2851

## FEE F R CLAIMS

4. The fee for claims (37 C.F.R. § 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY			
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE
TOTAL • 32	MINUS •• 20	= 12	x 9 =	\$ 108		x 18 =	\$
INDEP. • 2	MINUS ••• 3	= -	x 42 =	\$		x 84 =	\$
X FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+140 =	\$ 140		+280 =	\$
			TOTAL ADDIT. FEE	\$ 248	OR	TOTAL ADDIT. FEE	\$

- \* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.
  - If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".
  - If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
- The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 C.F.R. § 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) ☐ No additional fee for claims is required.

**OR**

(d) ☒ Total additional fee for claims required \$ 248.00

## FEE PAYMENT

5. ☐ Attached is a check in the sum of \$ \_\_\_\_\_

☒ Charge Account No. 02-0184 the sum  
of \$ 713.00

A duplicate of this transmittal is attached.

## FEE DEFICIENCY

**NOTE:** If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account No. 02-0184

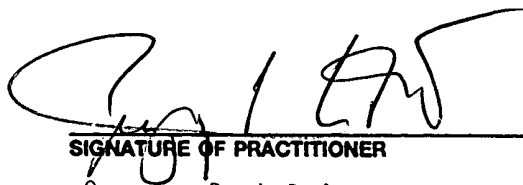
## AND/OR

- ☒ If any additional fee for claims is required, charge Account No. 02-0184

Reg. No.: 28,395

Tel. No.: (203) 777-6628

Customer No.:



SIGNATURE OF PRACTITIONER

Gregory P. LaPointe

(type or print name of practitioner)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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1-16-03  
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Applicant : OLIVER ROTTCHER      Docket No.: 01-376  
Serial No.: 09/883,729      Examiner : M. Cruz  
Filed : June 18, 2001      Art Unit : 2851  
For : MIRROR HAVING A PORTION  
IN THE FORM OF AN INFORMATION  
PROVIDER

900 Chapel Street  
Suite 1201  
New Haven, CT 06510-2802

AMENDMENT UNDER 37 C.F.R. 1.111(c)

Hon. Commissioner of Patents and Trademarks  
United States Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

In response to U.S. Patent and Trademark Office Action dated July 3, 2002, having a shortened statutory period for response set to expire October 3, 2002, having been extended for three months so as to expire January 3, 2002, please amend the above-identified application as follows:

IN THE SPECIFICATION

(NE)

✓      ✓  
Please cancel the specification in its entirety and insert therefor the attached substitute specification. A marked up version of the substitute specification is also attached.

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01/09/2003 YPOLITE1 00000022 020184 09883729

02 FC:2203 140.00 CH